FEDERAL COMMUNICATIONS COMMISSION 1919 M STREET, N.W. WASHINGTON, D.C. 20554

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August 1, 1997

# FY 1997 Commercial Wireless **Regulatory Fees**

The Federal Communications Commission issues this Public Notice in order to provide information concerning the payment of regulatory fees in FY 1997. If you hold authorizations in any of the commercial wireless services you should carefully review this Public Notice.

#### WHO MUST PAY REGULATORY FEES IN FY 1997

Most licensees and other entities regulated by the Commission must pay regulatory fees in FY 1997. This Public Notice concerns only the following commercial mobile radio services (CMRS) regulatees: specialized mobile radio services (Part 90); public coast stations (Part 80); public mobile radio, cellular, 800 MHz air-ground radiotelephone, and offshore radio services (Part 22); and PCS broadband services. The CMRS messaging services category includes all one-way paging (Parts 22 and 90), two-way paging, qualifying interconnected business radio services, 220-222 MHz land mobile systems (Part 90), and PCS narrowband services. Governments and nonprofit entities (exempt under Section 501 of the Internal Revenue Code) are not required to pay regulatory fees and should not submit payment. However, the Commission is currently considering a proposal which would require that each exempt entity submit, or have on file with the Commission, a current IRS Determination Letter documenting its nonprofit status, a certification of governmental authority, or certification from a governmental authority attesting to its exempt status. If the Commission decides to adopt the proposal under consideration, the place and date for submission of the required documentation will be announced by a separate Public Notice. All other private wireless regulatory fees are paid in advance for the full license term and submitted along with the appropriate application fee. A regulatee will be relieved of its fee payment requirement if its total fee due, including all categories of fees for which payment is due by the entity, amounts to less than \$10.

#### WHY THE COMMISSION MUST COLLECT REGULATORY FEES

The requirement to collect annual regulatory fees is contained in Public Law 103-66, "The Omnibus Budget Reconciliation Act of 1993." These regulatory fees, which are likely to change each fiscal year, are used to offset costs associated with the Commission's enforcement, public service, international and policy and rulemaking activities. These fees are in addition to any

application processing fees associated with obtaining a license or other authorization from the Commission

#### WHEN FEES WILL BE DUE

Fee payments must be <u>received</u> by the Commission during the period beginning <u>September 15, 1997</u>, and ending <u>September 19, 1997</u>, in order to avoid a 25% late payment penalty.

TYPE OF FEE	REGULATORY FEE PAYMENT	FEE CODE
CMRS Cellular Radio Licensees (Part 22)	\$0.24 per telephone number/unit	CDC7
CMRS Mobile Services (Parts 22, 80 and 90)	\$0.24 per unit	CPM7
CMRS Messaging Services (Parts 22 and 90)	\$0.03 per unit	CDW7

Licensees whose fee payments are based upon a telephone number or unit count should use the number of telephone numbers or units as of December 31, 1996. CMRS mobile radio licensees with more than 99 locations that have been given multiple call signs for the same license should list only one call sign on FCC FORM 159 and provide a separate listing of all other related call signs. See "Special Instructions for Completing FCC FORMs 159 and 159-C" for correct Payment Type Codes.

#### \*\*\*NOTICE\*\*\*

The Commission is considering a proposal to require Commercial Mobile Radio Service (CMRS) licensees to submit documentation concerning the basis for their fee payments. Such documentation on the number of pagers, cellular telephones or PCS units could take the form of reports to other government agencies, billing records, certified financial statements, or other records that demonstrate the accuracy of the fee payment. If this proposal is adopted by the Commission, a separate Public Notice will be published specifying what documentation must be submitted, where it is to be submitted, and when it is to be submitted.

#### FCC FORM 159

Regulatory fee payments <u>must</u> be accompanied by FCC FORM 159 ("FCC Remittance Advice"). This form has been revised and you must use the version dated July 1997. A copy of this form, with specific instructions, is attached to this Public Notice and may be used to submit fees. Reproduced forms are acceptable. Please see "Special Instructions for Completing FCC FORMs 159 and 159-C" for detailed information on how to correctly complete these forms.

#### WHERE TO SEND REGULATORY FEE PAYMENTS

If sending your regulatory fee payment by mail, please address your envelope as follows:

Federal Communications Commission Regulatory Fees P.O. Box 358835 Pittsburgh, PA 15251-5835

If you prefer to send your regulatory fee payment by <u>courier</u> to our lockbox bank, you may do so 24 hours per business day (except bank holidays). Please address your envelope and deliver it to the following address:

Federal Communications Commission c/o Mellon Bank Three Mellon Bank Center 525 William Penn Way 27th Floor, Room 153-2713 Pittsburgh, PA 15259-0001 (Attention: FCC Module Supervisor)

#### METHOD OF PAYMENT

Regulatory fee payments may be made by check, money order, or by credit card (Visa or Mastercard only). When paying by credit card, please make sure you complete and sign block 28 of FCC FORM 159. Payments may also be made by wire transfer, ACH Debit or ACH Credit. Instructions are provided below.

NOTE: We encourage arrangements to consolidate regulatory fee payments either by a single entity or by different entities into a single payment instrument. Consolidated fee payments may cover any number of service categories. Multiple fee payments may be made utilizing any of the methods of payment mentioned above. Payers who will be making a single payment for a significant number of entities and wish to submit automated payments in lieu of a large number of FCC FORMs 159-C ("Advice Continuation Sheets") may do so. Specific instructions regarding automated data submission will be issued separately. There is no limit to the number of payment items.

#### WIRE TRANSFER PAYMENT INSTRUCTIONS

A wire transfer is a transaction that you initiate via your bank. It authorizes your bank to wire funds from your account to our lockbox bank, the Mellon Bank in Pittsburgh, PA. All payments made by wire transfer must be supported by a completed FCC Remittance Advice (FCC FORM 159) and Advice Continuation Sheet (FCC FORM 159-C), if required. The FCC FORM 159 must be faxed to Mellon Bank at (412) 236-5702 at least one hour before the wire transfer on the same business day. Indicate on the top of the FCC FORM 159 "Wire Transfer - Regulatory Fee Payment." In Box (1) - Lockbox#, located at the upper left hand corner, indicate "358835." Failure to submit the completed FCC FORM 159 will result in a delay in crediting your

account. Due to Federal Reserve regulations, wire transfers received after 6:00 p.m. (EDT) will be credited the next business day.

The following information should be provided to your bank in order to complete the wire transfer:

ABA Routing Number 043000261 Receiving Bank: Mellon Pittsburgh

BNF: FCC/ACV--9116106

OBI Field: (Skip one space between each information item)

"REGULATORYPAY"

PAYER TIN (Exactly as on FCC FORM 159, Block #26) PAYER NAME (Exactly as on FCC FORM 159, Block #2)

Phone: (Daytime Phone #, exactly as on FCC FORM 159, Block #9)

LOCKBOX 358835

#### ACH DEBIT PAYMENT INSTRUCTIONS

This method involves simply calling Mellon Bank's toll-free telephone number, entering your payment via a computer terminal, or sending a CPU-CPU transmission by 8:15 p.m. (EDT) of the business day before your payment is to be processed. Mellon Bank will electronically process your payments to the FCC the next business day. Payments must be received no later than September 18, 1997, to ensure processing no later than September 19, 1997. A brief application form must be completed and a personal identification number (PIN) issued by the bank. Arrangements for using this process must be completed 14 days prior to submitting payment of the fee. Please contact Reginia Dorsey, Chief, Billings & Collections Branch, Financial Operations Division at (202) 418-1995 for further details.

#### ACH CREDIT PAYMENT INSTRUCTIONS

This method requires that you contact your bank directly and authorize it to electronically transfer funds from your account directly to Mellon Bank for credit to the FCC. For specific instructions and charges, please contact your local bank. The following information should be provided to your bank in order to complete the ACH Credit transaction:

ABA Routing Number 043000261 Receiving Bank: Mellon Pittsburgh FCC Bank Account #--9114784 Supplemental Information:

(Skip one space between each information item)

"REGULATORYPAY"

PAYER TIN (Exactly as on FCC FORM 159, Block #26) PAYER NAME (Exactly as on FCC FORM 159, Block #2)

PHONE: (Daytime phone #, exactly as on FCC FORM 159, Block #9)

LOCKBOX 358835

WARNING: ACH Credit Payments normally take one to two days to post to the account of the FCC. If you elect this method of payment, you must effect your credit transaction in sufficient time to be processed no later than <u>September 19</u>, 1997.

#### **COMPLIANCE**

Please make sure your total remittance and the amount indicated on the FCC FORM 159 are in agreement. Failure to do so will delay processing. Licensees are solely responsible for accurately accounting for all licenses and for paying proper regulatory fees. Any data omission, erroneous or incomplete data submission, or payment deficiency may result in a 25% monetary penalty, dismissal of pending actions, and/or revocation of any authorization. You are strongly urged to submit your payments on time and accurately in order to avoid a penalty. Additionally, the Commission will invoke any lawful authority it may possess under the Debt Collection Act to collect any fees due or outstanding against any licensee failing to meet its regulatory fee payment obligations.

#### WAIVERS, REDUCTIONS AND DEFERMENTS OF REGULATORY FEES

The Commission will consider requests for waivers, reductions or deferments of regulatory fees, in extraordinary and compelling circumstances only, upon a showing that such action overrides the public interest in reimbursing the Commission for its regulatory costs. Timely submission of the appropriate regulatory fee must accompany requests for waivers or reductions. This will ensure efficient collection in situations where a waiver or reduction is not warranted and will allow the requestor to avoid a 25% late-payment penalty if its request is denied. The regulatory fee will be refunded later if the request is granted. Only in exceptional or compelling instances (where payment of the regulatory fee along with the waiver or reduction request could result in the reduction of service to a community or other financial hardship to the licensee), will the Commission accept a petition to defer payment along with a waiver or reduction request. All requests for deferments must be received before September 19, 1997, in order to avoid the 25% late-payment penalty.

## ADDITIONAL INFORMATION

Applicable Public Notices, and FCC FORMs 159 and 159-C are available from the Commission's Office of Public Affairs, Public Service Division and can be downloaded from the Internet (http://www.fcc.gov or ftp@fcc.gov). Text of the Report and Order including the entire Schedule of Regulatory Fees can be downloaded from the Internet. Forms may also be obtained by contacting the Forms Hotline at (800) 418-3676 outside the Washington, D.C. area, or (202) 418-3676 locally. For additional information, please contact the Public Service Division at (202) 418-0192, or write to: Federal Communications Commission, Office of Public Affairs, ATTN: Public Service Division, 1919 M Street, N.W., Washington, D.C., 20554.

## FEE PAYMENT PROCEDURES FOR CMRS SERVICE PROVIDERS

WHO MUST PAY: CMRS cellular providers (common carriers providing cellular radio service to the public), CMRS mobile service licensees (common carriers authorized under Parts 22, 80 and

90 to offer land-based or air-to-ground mobile telephone or paging services to the public) <sup>1</sup>, and CMRS one-way paging licensees (authorized under Parts 22 and 90). Governments and nonprofit entities (exempt under Section 501 of the Internal Revenue Code) are not required to pay regulatory fees and should not submit payment. However, the Commission is currently considering a proposal which would require that each exempt entity submit, or have on file with the Commission, a current IRS Determination Letter documenting its nonprofit status, a certification of governmental authority, or certification from a governmental authority attesting to its exempt status. If the Commission decides to adopt the proposal under consideration, the place and date for submission of the required documentation will be announced by a separate Public Notice.

## SPECIAL INSTRUCTIONS FOR COMPLETING FCC FORMS 159 AND 159-C

FCC Form 159 ("FCC Remittance Advice") and, as necessary, FCC FORM 159-C ("Advice Continuation Sheet") must accompany all regulatory fee payments. FCC FORM 159 allows payers to report information on one or more payment items (e.g., cellular subscribers, public mobile subscribers, paging units, or a combination). Use FCC FORM 159-C to report additional payments.

FCC FORMs 159 and 159-C have been attached to this Public Notice for you to complete and remit with your payment. You may make additional copies of the forms as required. In addition to the instructions for FCC FORM 159, the following information applies specifically to commercial wireless regulatees:

## Block (19) - "FCC CALL SIGN/OTHER ID":

► CMRS mobile and CMRS Messaging licensees should enter their call sign.

#### Block (20) - "PAYMENT TYPE CODES":

CDC7: Use this code when making a regulatory fee payment for a CMRS <u>cellular</u> radio license (\$0.24 per telephone number/ unit).

CPM7: Use this code when making a regulatory fee payment for a CMRS <u>mobile</u> radio license (\$0.24 per unit).

CDW7: Use this code when making a regulatory fee payment for a CMRS <u>messaging</u> license (\$0.03 per unit).

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<sup>&</sup>lt;sup>1</sup> In addition to cellular telephone service, these services include those using radio to provide telephone services at fixed locations, such as Basic Exchange Telecommunications Radio Services, Rural Radio, Public Coast, and Offshore Radio.

## Block (21) - "QUANTITY":

- CMRS <u>cellular</u> radio licensees should enter the number of telephone numbers/units.
- ► CMRS mobile radio licensees should enter the total number of units.
- CMRS <u>messaging</u> licensees should enter the total number of units.

#### Block (22) - "FEE DUE":

- For CMRS <u>cellular</u> radio licensees (payment type code CDC7), multiply the amount from Block 21 ("Quantity") by \$0.24. Round <u>down</u> to the nearest whole dollar.
- For CMRS <u>mobile</u> radio licensees (payment type code CPM7), multiply the amount from Block 21 ("Quantity") by \$0.24. Round <u>down</u> to the nearest whole dollar.
- For CMRS <u>messaging</u> licensees (payment code CDW7), multiply the amount from Block 21 ("Quantity") by \$0.03. Round <u>down</u> to the nearest whole dollar.

## Block (23) - "FCC CODE 1":

► Leave this block blank.

#### Block (24) - "FCC CODE 2":

► Leave this block blank.

## Block (25) - "CERTIFICATION":

Provide the printed name and signature of an individual owner/licensee or officer attesting to the accuracy and completeness of the information supplied.

#### Block (26) - "PAYER TIN":

Enter the fee payer's nine digit Taxpayer Identification Number.

## Block (27) - "APPLICANT TIN":

If different from payer, enter the applicant's nine digit Taxpayer Identification Number.